

Annex II: RTS28**PROFESSIONAL**

Class of Instrument
<1 average trade per
business day in previous year

Equity

N

Top 5 execution venues
ranked by trading volume

Canaccord Genuity [213800LJU4K7L7CBFN57]
Quilter Cheviot [213800QCI7X27H677R39]
Royal Bank of Canada [0LC1OXN013FUKQASJB58]
Butterfield Bank [2138007LUPBTFEH45U88]
Standard Bank [213800H3MQH718V43A59]

Proportion of volume as % total in class	Proportion of orders executed as % total in class	% passive orders	% aggressive orders	% directed orders
57%	52%	0%	0%	0%
17%	25%	0%	0%	0%
13%	8%	0%	0%	0%
10%	8%	0%	0%	0%
3%	5%	0%	0%	0%

RETAIL

Class of Instrument
<1 average trade per
business day in previous year

Equity

N

Top 5 execution venues
ranked by trading volume

Canaccord Genuity [213800LJU4K7L7CBFN57]
Nedbank Private Wealth [213800YEKLOP9E1LRX08]
Lombard Odier
Quilter Cheviot [213800QCI7X27H677R39]
Raymond James

Proportion of volume as % total in class	Proportion of orders executed as % total in class	% passive orders	% aggressive orders	% directed orders
45%	47%	0%	0%	0%
25%	26%	0%	0%	0%
18%	8%	0%	0%	0%
8%	10%	0%	0%	0%
2%	5%	0%	0%	0%

PROFESSIONAL

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Bonds

Y

Top 5 execution venues
ranked by trading volume

Canaccord Genuity [213800LJU4K7L7CBFN57]
Lombard Odier
N/A
N/A
N/A

Proportion of volume as % total in class	Proportion of orders executed as % total in class	% passive orders	% aggressive orders	% directed orders
0%	0%	0%	0%	0%
0%	0%	0%	0%	0%
0%	0%	0%	0%	0%
0%	0%	0%	0%	0%

RETAIL

Class of Instrument
<1 average trade per
business day in previous year

Bonds

Y

Top 5 execution venues
ranked by trading volume

Canaccord Genuity [213800LJU4K7L7CBFN57]
Lombard Odier
N/A
N/A
N/A

Proportion of volume as % total in class	Proportion of orders executed as % total in class	% passive orders	% aggressive orders	% directed orders
71%	50%	0%	0%	0%
29%	50%	0%	0%	0%
0%	0%	0%	0%	0%
0%	0%	0%	0%	0%
0%	0%	0%	0%	0%